



Cayman Islands Exempted Company
Incorporation Application Form and Due Diligence Form

Guidance in completing the Incorporation Application Form and Due Diligence Form

- 1. If the Directors, Shareholders and Beneficial Owners are all individuals you will only be required to complete Section 1.
2. If the proposed company has any Corporate Directors, Corporate Shareholders or Corporate Beneficial Owners Appendix A must be completed for each corporate body.

Documents Needed to be Supplied

- 1. Full description of the nature of the business to be conducted by the Company.
2. Completed client questionnaire for each Company.
3. Documents as specified in Appendix A

Section 1

Proposed Company Name: (Print full company name clearly)

Please ensure the company name is exactly as you want it to appear on the incorporation documents. Please provide two alternative names for use in case your first choice is unavailable:

- 1st Choice:
2nd Choice:
3rd Choice:

Authorized Capital:

- Standard - US\$50,000 Authorized Share Capital divided into 50,000 shares of a nominal or Par Value of US\$1.00 Each
US\$ Authorized Share Capital divided into of shares of a nominal or a Par Value of US\$ Each

Share Type:

- Registered Shares Only Registered Shares and Bearer Shares

Main activity of the Company:

Geographical location(s) of the Business:

Please provides details of the proposed activities of the company and be as specific as possible, refraining from generic statements such as "trading". Include the countries with which and in which the Company may operate.

Initial Funding

Initial funds to be injected (cash):

Minimum funds must be at least equivalent to the first year's fees

Method of Funding the Company:

- Shareholder's loan account Subscription to Share Capital Trading Profits

Other, please specify:

What other assets will be transferred to the Company?

If assets other than cash or investments (i.e., property), please provide details:

Turnover, Profit and Transaction of the Cayman Company (please indicate the currency quoted in full)

How much start up capital will be invested into the business? _____

Estimated annual turnover: _____

What is the anticipated annual profit: _____

(per month)

In	Out

Estimated number of transfers into the company's bank account

Estimated value of transfers into the company's bank account

Shareholders:

Please note that:-

1. Minimum one shareholder is required and corporate shareholder(s) are permitted;
2. If Shareholder(s) is/are a corporate, please complete Appendix A for each Corporate shareholder; and
3. Please provide this information on a separate sheet if more than two shareholders.

Shareholder 1

Full Name: _____

Address: _____

Nationality: _____ Passport No. _____ Occupation: _____

Date and Place of Birth: _____ No. of share(s) to be held: _____

Source of funds for capital: _____ Details of Source of Wealth: _____

Date of Appointment: _____

Shareholder 2

Full Name: _____

Address: _____

Nationality: _____ Passport No. _____ Occupation: _____

Date and Place of Birth: _____ No. of share(s) to be held: _____

Source of funds for capital: _____

Details of Source of Wealth: _____

Date of Appointment: _____

*Please further explain the source of funds. Please note that generic statements such as "personal savings" or "investments" are not acceptable.
For example: representing savings over (number) of years, having been employed as a (title and company name).*

Beneficial Owners: (where different to shareholders)

Please note that:

1. Please complete this part if any person or corporate holding 10% interest or more or with principal control over the company's assets;
2. If Beneficial owner(s) is/are a corporate, please complete Appendix A for each Corporate beneficial owner; and
3. Please provide this information on a separate sheet if more than two beneficial owner

Beneficial Owner 1

Full Name: _____
Address: _____
Nationality: _____ Passport No. _____ Occupation: _____
Date and Place of Birth: _____ No. of share(s) to be held: _____
Source of funds for capital: _____ Details of Source of Wealth: _____

Beneficial Owner 2

Full Name: _____
Address: _____
Nationality: _____ Passport No. _____ Occupation: _____
Date and Place of Birth: _____ No. of share(s) to be held: _____
Source of funds for capital: _____ Details of Source of Wealth: _____

Directors

Please note that:-

1. Minimum of one director is required and corporate directors are permitted;
2. If director(s) is/are a corporate, please complete Appendix A for each Corporate director; and
3. Please provide this information on a separate sheet if more than two directors.

Director 1

Full Name: _____
Address: _____
Nationality: _____ Passport No. _____ Occupation: _____
Date and Place of Birth: _____ Date of Appointment: _____

Director 2

Full Name: _____
Address: _____
Nationality: _____ Passport No. _____ Occupation: _____
Date and Place of Birth: _____ Date of Appointment: _____

Secretary

Please note that:-

1. It is not a requirement for the company to have a Secretary;
2. If secretary(ies) is/are a corporate, please complete Appendix A for each Corporate secretary; and
3. Please provide this information on a separate sheet if more than one secretary.

Secretary

Full Name: _____
Address: _____
Nationality: _____ Passport No. _____ Occupation: _____
Date and Place of Birth: _____ Date of Appointment: _____

Correspondence Address (must be provided)

Address _____

Book Kept Address (must be provided)

Address _____

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Confirmed by:

Signature: _____

Print Name: _____

Date: _____

Appendix A

This is to be completed in respect of each Corporate Director, Corporate Shareholder, Corporate Beneficial Owner, Corporate Secretary and Corporate Authorized Signatory.

1. Company Name: _____
2. Address of the Registered Office: _____

3. Nature of the companies business: _____
4. Geographical Location(s) of the business: _____
5. Certified Copy of The Certificate of Incorporation:
6. Certified Copy of Registers:
7. Position(s) held in the Company is
 Director Shareholder (no. of share(s) held : _____)
 Beneficial Owner Officer Authorized Signatory Secretary
8. Beneficial Owner(s) of the Shareholder: Being any person holdings a 10% interest or more or with principal control over the company's assets.

8a. Beneficial Owner 1:

Full Name: _____
Address: _____
Nationality: _____ Occupation: _____
Date and Place of Birth: _____ No. of shares to be held: _____
Source of funds for capital: _____ Details of Source of Wealth: _____

8b. Beneficial Owner 2:

Full Name: _____
Address: _____
Nationality: _____ Occupation: _____
Date and Place of Birth: _____ No. of shares to be held: _____
Source of funds for capital: _____ Details of Source of Wealth: _____

9. Identity of a director

9a. Director 1:

Full Name: _____
Address: _____
Nationality: _____ Occupation: _____
Date and Place of Birth: _____
Date of Appointment: _____

9b. Director 2:

Full Name: _____
Address: _____
Nationality: _____ Occupation: _____
Date and Place of Birth: _____
Date of Appointment: _____

Checklist of Documentation required for each principal shareholder (10% or greater than 10%) of the Corporate Shareholder

1. If the director(s) and shareholder(s) is an individual, please provide:
 - Please complete the incorporation form and provide:
 - For companies to be incorporated in, or administered by:
 - A clear, notarized or certified photocopy of either a passport or a national identification card showing photograph, ID number, country of issuance, issue date, expiry date and signature for director(s) and for all shareholder(s) and beneficial owners owning 10% or more of the company (whether directly or indirectly).
 - A clear, notarized or certified photocopy proof of residential address for director(s) and for all shareholder(s) and beneficial owners owning 10% or more of the company (whether directly or indirectly). The address proof may be in the form of a recent (not more than 3 months old) utility bill showing the party's residential address.
2. If the corporate shareholder is a publicly trading company, please provide:-
 - Name of exchange traded on
 - Listing code
 - Recent financial statements, if available
 - If the Company is not traded on an approved stock exchange then latest audited accounts must also be provided
3. If the corporate shareholder is a private company, please provide:-**
 - Original, notarized or certified Copy of Certificate of Incorporation and Certificate of Good Standing if the private company is over 1 year old
 - Certified or notarized Copy of Register of Directors
 - Certified or notarized Copy of Register of Officers
 - Certified or notarized Copy of Register of Members
 - Copy of the Memorandum and Articles of Association
 - Due diligence for each director and principal beneficial owner (10% or greater than 10%)

** Please note:

- (i) This process continues until the principal shareholders are all either individuals or publicly traded companies.
- (ii) For holding companies, the information requested should be supplied on the ultimate holding company. Any intermediary companies should be named but full information will not be required.